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FACSIMILE TRANSMISSION**Date:** July 25, 2007**Pages:** 6**To:** U.S. Patent Office -
Office of Finance – Refund Branch**From:** David G. Posz**Fax No.:** 571-273-6500**Subject:** Request for Refund of IDS Fee in Application No. 10/692,793

Applicant(s): LEE et al.

Application No.: 10/692,793

Filed: 10/27/2003

Title: METHOD AND SYSTEM FOR
ANNOTATING AND/OR LINKING
DOCUMENTS AND DATA FOR
INTELLECTUAL PROPERTY
MANAGEMENT

Attorney Docket No.: 113708.129

Group Art Unit: 2176

Examiner: TRAN, QUOC

Sirs,

Applicants request a refund to Deposit Account 50-1147 of the PTO fee of \$180 for the submission of Information Disclosure Statement which was charged to our Deposit Account 50-1147 on June 4, 2007 since the fee was not required for this application. The Information Disclosure Statement was filed on May 29, 2007 after a Request for Continued Examination (RCE) was filed on May 14, 2007.

Copies of the Supplemental Information Disclosure Statement of May 29, 2007, Request for Continued Examination Transmittal with PTO return receipt confirmation and our Deposit Account Statement of June 2007 are attached herewith.

Authorization is hereby given to charge any fee deficiencies or credit any overpayment to Deposit Account 50-1147.

Respectfully submitted,

David G. Posz
Reg. No. 37,701

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07/26/2007 13:56 7037079112

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Deposit Account Statement

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Deposit Account Statement

| | |
|----------------------------|-------------------------|
| Requested Statement Month: | June 2007 |
| Deposit Account Number: | 501147 |
| Name: | POSZ LAW GROUP, PLC. |
| Attention: | DAVID G. POSZ |
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| City: | RESTON |
| State: | VA |
| Zip: | 20191 |
| Country: | UNITED STATES |

| DATE SEQ | POSTING REF | ATTORNEY DOCKET NBR | FEE CODE | AMT | BAL |
|----------|----------------------|---------------------------|-------------|------------|-----|
| 06/04 | 4236 E-REPLENISHMENT | | 9203 | \$1,000.00 | |
| 06/04 1 | 10692793 | 113708.129 US1 | 1806 | \$180.00 | |
| 06/04 2 | 10728879 | 113708.127US2 | 1806 | \$180.00 | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|---|------------------------|
| Applicant: Lee | Atty. Dkt.: 113708.129 |
| Serial No.: 10/692,793 | Art Unit: 2176 |
| Filed: 10/27/2003 | Examiner: Quoc TRAN |
| TITLE: METHOD AND SYSTEM FOR ANNOTATING AND/OR LINKING DOCUMENTS AND DATA FOR INTELLECTUAL PROPERTY MANAGEMENT | |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Date: 29 May 2007 |

 FAXED

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence (two (2) pages total) is being facsimile transmitted to the USPTO (Fax. No. 571-273-8300) on this date: 29 May 2007. Titled Name: Cynthia K. Nicholson.
Signature: 

INFORMATION DISCLOSURE STATEMENT AND ATTACHED FORM PTO 1449

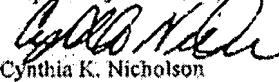
Sir:

Pursuant to 37 C.F.R. §1.56, the references listed on the attached Form PTO-1449 are being submitted for consideration by the Examiner without any admission that they constitute statutory prior art, or without any admission that they contain subject matter that anticipates the invention or renders the invention obvious to a person of ordinary skill in the art.

Further, pursuant to 37 C.F.R. §1.97(e), the undersigned hereby certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. Specifically, the listed references were cited in applicant's co-pending application Ser. No. 10/725,531, 10/229,273, and 10/891,478, in office actions mailed 26 March 2007, 9 March 2007, and 27 February 2007; 29 May 2007 is the next business day following 27 May 2007.

Although no fees are believed to be due at this time, it is respectfully requested that any such fees be charged to Deposit Account 50-1147. Also, the Examiner is requested to initial the attached PTO Form-1449 and to return a copy of same to the undersigned attorney as proof that the listed references have been considered and made of record.

Respectfully submitted,



Cynthia K. Nicholson
Reg. No. 36,880

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Customer No. 23400

SHEET 1 OF 1

| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449) | | ATTY. DOCKET NO. 113708.129 | SERIAL NO. 10/692,793 |
|---|--------------|--------------------------------|--------------------------|
| | | APPLICANT Eugene M. LEE | |
| | | FILING DATE 10/27/2003 | GROUP 2176 |
| U.S. PATENT DOCUMENTS | | | |
| EXAMINER'S INITIALS | PATENT NO. | DATE | NAME |
| | 2002/0116363 | 08-2002 | Grainger |
| | 2006/0259321 | 11-2006 | Gabrick et al. |
| | 2002/0111824 | 08-2002 | Grainger |
| | 2002-0059076 | 05-2002 | Grainger et al. |
| | 2007/0005374 | 01-2007 | Harkin |
| | 2003/0191654 | 10-2003 | Panchal |
| | 2002/0161733 | 10-2002 | Grainger |
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| | 7,117,443 | 10-2006 | Zilka |
| | 2002/0143760 | 10-2002 | Kim et al. |
| | 6,339,767 | 1-2002 | Rivette et al. |
| | 5,623,679 | 4-1997 | Rivette et al. |
| | | | |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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PAGE 05

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5/14/2007 8:49:28 AM PAGE 1/001 Fax Server

TO:Auto-reply fax to 703707911 COMPANY:

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TO:

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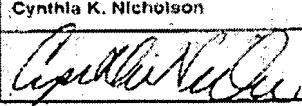
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| 05/14/2007 8:49:28 7037079112 | | POSZ LAW GROUP | PAGE 05 | | | | | | | | | | | | | | | | | | | | | | | | |
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| FAX: (703) 273-4300 E-MAIL: info@posz.com | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| MAILING ADDRESS: 1000 G STREET, N.W., SUITE 1000 WASHINGTON, D.C. 20004-2210 TELEPHONE: (703) 273-4300 FAX: (703) 273-4312 www.posz.com | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Date: 5/14/2007 | | Pages: 25 (including this page) | | | | | | | | | | | | | | | | | | | | | | | | | |
| To: CAPTO | | From: Cynthia K. Nichols [2] | | | | | | | | | | | | | | | | | | | | | | | | | |
| Fax No: 271-275-4300 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Subject: Amendment | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1"><tr><td>Attached file(s):</td><td>Total Page(s): 25</td></tr><tr><td>File Date: 10012605</td><td>Any Date: 11370118</td></tr><tr><td colspan="2">Title: COMPUTER ASSISTED AND IMPLEMENTED PROCESSES AND SYSTEMS FOR ANNOTATING AND/OR LINKING...</td></tr><tr><td colspan="2">Comments:</td></tr><tr><td colspan="2">Attached please find:</td></tr><tr><td colspan="2">(1) Transmittal form; (2) Fee or related form; (3) Report or Case Work Distribution Transmittal; (4) Declaration/Amendment; and (5) PTO Form 2038 (Credit Card Payment Form)</td></tr><tr><td colspan="4">CERTIFICATE OF FACSIMILE TRANSMISSION</td></tr><tr><td colspan="4">I hereby certify that this facsimile transmission has been transmitted to the USPTO on the 14 May 2007 by telephone communication.</td></tr><tr><td colspan="4">Signature: <i>Cynthia K. Nichols</i></td></tr></table> | | | | Attached file(s): | Total Page(s): 25 | File Date: 10012605 | Any Date: 11370118 | Title: COMPUTER ASSISTED AND IMPLEMENTED PROCESSES AND SYSTEMS FOR ANNOTATING AND/OR LINKING... | | Comments: | | Attached please find: | | (1) Transmittal form; (2) Fee or related form; (3) Report or Case Work Distribution Transmittal; (4) Declaration/Amendment; and (5) PTO Form 2038 (Credit Card Payment Form) | | CERTIFICATE OF FACSIMILE TRANSMISSION | | | | I hereby certify that this facsimile transmission has been transmitted to the USPTO on the 14 May 2007 by telephone communication. | | | | Signature: <i>Cynthia K. Nichols</i> | | | |
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| File Date: 10012605 | Any Date: 11370118 | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Signature: <i>Cynthia K. Nichols</i> | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| PAGE 05 * RCVD AT 10:56:02 05/26/2007 10:56:02 AM (Eastern Daylight Time) * SERVER ID:USPTO-271-275-4300-2736500 * CSID:7037079112 * DURATION (mm:ss):02-02 | | | | | | | | | | | | | | | | | | | | | | | | | | | |

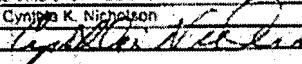
| | | |
|--|------------------------|--------------|
| Request For Continued Examination (RCE) Transmittal Address to: Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Application Number | 10/692,793 |
| | Filing Date | 10/27/2003 |
| | First Named Inventor | Lee |
| | Art Unit | 2176 |
| | Examiner Name | Quoc A. TRAN |
| | Attorney Docket Number | 113708.129 |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

| | |
|--|--|
| 1. Submission required under 37 CFR 1.114. Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s). | |
| a. <input checked="" type="checkbox"/> Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. | |
| i. <input checked="" type="checkbox"/> Consider the arguments in the Appeal Brief or Reply Brief previously filed on <u>13 March 2007</u> ii. <input type="checkbox"/> Other _____ | |
| b. <input checked="" type="checkbox"/> Enclosed | |
| i. <input checked="" type="checkbox"/> Amendment/Reply iii. <input type="checkbox"/> Information Disclosure Statement (IDS) | |
| ii. <input type="checkbox"/> Affidavit(s)/Declaration(s) iv. <input type="checkbox"/> Other _____ | |
| 2. Miscellaneous | |
| a. <input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required) | |
| b. <input type="checkbox"/> Other _____ | |
| 3. Fees. The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. | |
| a. <input type="checkbox"/> The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1147. I have enclosed a duplicate copy of this sheet. | |
| i. <input type="checkbox"/> RCE fee required under 37 CFR 1.17(e) ii. <input type="checkbox"/> Extension of time fee (37 CFR 1.136 and 1.17) iii. <input type="checkbox"/> Other _____ | |
| b. <input type="checkbox"/> Check in the amount of \$ <u>.790</u> is enclosed | |
| c. <input checked="" type="checkbox"/> Payment by credit card (Form PTO-2038 enclosed) | |

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| | | | |
|--|---|-----------------------------------|-------------|
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED | | | |
| Name (Print/Type) | Cynthia K. Nicholson | Registration No. (Attorney/Agent) | 38,880 |
| Signature |  | Date | 14 May 2007 |

| | | | |
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| CERTIFICATE OF MAILING OR TRANSMISSION | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below. | | | |
| Name (Print/Type) | Cynthia K. Nicholson | Date | 14 May 2007 |
| Signature |  | | |

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Date: July 25, 2007

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To: U.S. Patent Office -
 Office of Finance - Refund Branch

From: David G. Posz

Fax No.: 571-273-6500

Subject: Request for Refund of IDS Fee in Application No. 10/692,793

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